

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Jun Li and Meyya Meyyappan

Serial No: 10/825,795

Filed: March 13, 2004

For: "Nanoengineered Thermal Materials Based on Carbon Nanotube Array Composites"

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION  
FILED UNDER 37 CFR 1.53(b)  
Filing Date Granted**

1. This response replies to the Notice to File Missing Parts of Nonprovisional Application mailed 06/28/2004.  
☒ A copy of the Notice to File Missing Parts of Nonprovisional Application is enclosed.
2. **Declaration or Oath**  
☒ The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

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**Certificate of Mailing/Transmission (37 CFR 1.8(a))**

I hereby certify that this correspondence comprising:

One Return Postcard  
Transmittal/Fee Sheet/Petition to Extend Time/Mailing Certificate (3 pages, in duplicate)  
Executed Declaration (2 pages)  
Replacement Drawings (7 sheets, including figs. 1-2, 3A-C, 4A-B, 5A-B, 6A-B, and 7A-B)  
Copy of Notice to File Missing Parts (2 pages)

is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 12, 2004

Signature

Vickie L. Kent 10/12/04Vickie L. Kent

10/25/2004 AWONDAF1 00000046 140116 10825795

02 FC:1252 430.00 DA

3. **Replacement Drawings**

- ☒ Replacement Drawings in Compliance with 37 CFR 1.84 and 37 CFR 1.121 are attached.

7 Sheets, consisting of figs. 1-2, 3A-C, 4A-B, 5A-B, 6A-B, and 7A-B

4. **Completion Fees**

- ☐ late payment of filing fee

and/or

late filing of original declaration or oath                      \$ 130.00  
[37 CFR 1.16(e) --\$130.00]

**Total Completion fees              \$ 130.00**

5. **Extension of Time**

The proceedings herein are for a patent application, and the provisions of 37 CFR 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)(1)-(5), for the total number of months checked below:

- ☒ Applicant is other than a small entity

Extension  
(months)

Fee for other than  
small entity

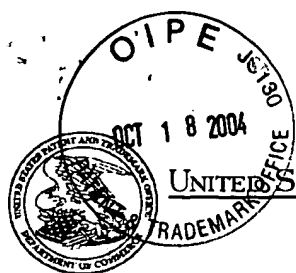
<input type="checkbox"/> one month	\$ 110.00
<input checked="" type="checkbox"/> two months	\$ 430.00
<input type="checkbox"/> three months	\$ 980.00
<input type="checkbox"/> four months	\$1,530.00
<input type="checkbox"/> five months	\$2,080.00

**Extension Fee              \$ 430.00**

If an additional extension of time is required, please consider this a petition therefor.



IFN \$



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/825,795	04/13/2004	Jun Li	ARC-15173-1

CONFIRMATION NO. 9102

## FORMALITIES LETTER



\*OC000000013085502\*

25186  
 NASA AMES RESEARCH CENTER  
 ATTN: PATENT COUNSEL  
 MAIL STOP 202A-4  
 MOFFETT FIELD, CA 94035-1000

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

RECEIVED  
 OCT 22 2004  
 OIPE/JCV/S

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2, 3A.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

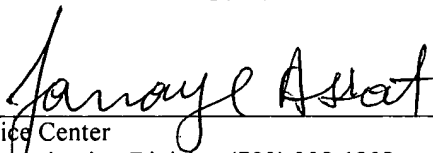
10/25/2004 AWONDAF1 00000046 140116 10825795  
 0: FC:1051 130.00 DA

RECEIVED  
 JUL 06 2004  
 In The Patent  
 Councils Office  
 By: [Signature]

Commissioner for Patents  
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*A copy of this notice MUST be returned with the reply.*



Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE